

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S) : Shodai et al.

TITLE : **CHEWABLE SOFT CAPSULE HAVING
IMPROVED INGESTION PROPERTY AND
METHOD FOR PRODUCING SAME**

APPLICATION NO. : 09/914,340

FILED : February 19, 2002

CONFIRMATION NO. : 3665

ATTORNEY DOCKET NO. : YAMZ 2 00009

Commissioner for Patents
U.S. Patent and Trademark Office
Alexandria, VA 22313-1450

EFS TRANSMITTAL LETTER

Dear Sir:

In connection with the above-identified application, transmitted herewith are the following:

<input type="checkbox"/> New Patent Application, including Transmittal <input type="checkbox"/> Utility <input type="checkbox"/> Design <input type="checkbox"/> Prov. <input type="checkbox"/> Cont. <input type="checkbox"/> CIP <input type="checkbox"/> Div. <input type="checkbox"/> RCE <input type="checkbox"/> 371 Submission pages of Specification pages of Claims (total claims independent claims) pages of Abstract sheets of Formal / Informal Drawings (Figures) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> Claim for Right of Priority: App. No.: filed: which claims Priority to App. No.: filed: <input type="checkbox"/> Request & Certification Under 35 U.S.C. 122(b)(2)(B)(i)	<input type="checkbox"/> Information Disclosure Statement, including PTO Form 1449 and Number of References (if required) <input type="checkbox"/> Response to Notice to File Missing Parts <input checked="" type="checkbox"/> Amendment (due: 02/28/07) and <input checked="" type="checkbox"/> Amendment Transmittal Letter <input type="checkbox"/> Petition for Month Extension of Time <input type="checkbox"/> Response to Notice to File Missing Requirements <input type="checkbox"/> Response to Restriction Requirement <input type="checkbox"/> Petition Specify Type <input type="checkbox"/> Notice of Appeal <input type="checkbox"/> Appeal Brief <input type="checkbox"/> Issue Fee and <input type="checkbox"/> Publication Fee <input type="checkbox"/> Other: Specify
<input checked="" type="checkbox"/> One or more fees may be paid via credit card through the electronic filing system (EFS-Web) in association with this submission. If any additional fee is determined to be due as a result of the filing of this submission, the Commissioner is hereby authorized to charge any such additional fees associated with this submission to Deposit Account Number 06-0308.	
<input checked="" type="checkbox"/> Should any extensions of time under the provisions of 37 CFR 1.136(a)(1)-(5) be required for this submission, the Commissioner is hereby authorized to charge any such extension of time fees to Deposit Account Number 06-0308.	
<input checked="" type="checkbox"/> This submission is being filed on the date indicated below by electronic transmission with the United States Patent and Trademark Office via the electronic filing system (EFS-Web).	
<u>February 19, 2007</u> Date	<u>/ Richard M. Klein /</u> Richard M. Klein, Reg. No. 33,000

AMENDMENT TRANSMITTAL LETTER (LARGE ENTITY)

Ser. No.: 09/914,340	Filed: February 19, 2002	Examiner: Susan T. Tran
Art Unit: 1615	Title: CHEWABLE SOFT CAPSULE HAVING IMPROVED INGESTION PROPERTY AND METHOD FOR PRODUCING SAME	

To the Commissioner for Patents:

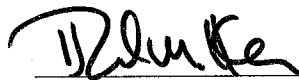
Transmitted herewith is a (an) Amendment in the above-identified application. The fee(s) has (have) been calculated as shown below.

CLAIMS AS AMENDED						
	Claims remaining after amendment		Highest Number Previously Paid For	No. of Extra Claims Present	Rate	Additional Rate
Total Claims	31	Minus	40	0	X \$50	\$0.00
Indep. Claims	1	Minus	3	0	X \$200	\$0.00
TOTAL					=	\$0.00
<input checked="" type="checkbox"/>	No additional fee is believed due however, if this is not the case, the Commissioner is hereby authorized to charge any and all fees to Deposit Account No. 06-0308.					
<input type="checkbox"/>	Payment for the filing of this Amendment and/or any appropriate extension of time fee is/are authorized to be charged to a Credit Card. The appropriate PTO form 2038 is enclosed. If the Credit Card is unable to be charged, please charge any and all fees or credit any overpayment to Deposit Account No. 06-0308. If there are any additional fees required by this communication, please charge same to Deposit Account No. 06-0308.					
<input type="checkbox"/>	Applicant(s) hereby petition(s) the Commissioner under 37 C.F.R. § 1.136(a) and request a Not Applicable month extension of time to respond to the outstanding Office Action.					

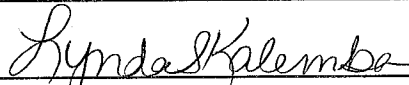
Respectfully submitted,

FAY SHARPE LLP

February 19, 2007
Date



Richard M. Klein, Reg. No. 33,000
1100 Superior Avenue
Seventh Floor
Cleveland, OH 44114-2579
216-861-5582

Certificate of Mailing or Transmission	
I hereby certify that this correspondence (and any item referred to herein as being attached or enclosed) is (are) being	
<input type="checkbox"/>	deposited with the United States Postal Service as First Class Mail, addressed to: Mail Stop None, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.
<input checked="" type="checkbox"/>	transmitted to the USPTO by electronic transmission via EFS-Web on the date indicated below.
Signature: 	
Date: February 19, 2007	Name: Lynda S. Kalemba